

**CALTRANS**  
**STATE OF CALIFORNIA**  
**DEPARTMENT OF TRANSPORTATION**

**BID**

FOR CONSTRUCTION ON STATE HIGHWAY IN  
IN ALAMEDA COUNTY AT 0.1 MILE NORTH OF INDUSTRIAL PARKWAY OVERCROSSING  
In District 04 on Route 880

**UNDER**

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*Notice to Bidders and Special Provisions* dated August 5, 2019

Project plans approved March 14, 2019

*Standard Specifications* dated 2018

*Standard Plans* dated 2018

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Identified by

Contract No. 04-2J3204

04-Ala-880-14.6

Project ID 0414000488

Federal-Aid Project

ACIM-880-1(085)E

**Electronic Bidding Contract**

Bids open Wednesday, August 28, 2019

Dated August 5, 2019

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
BID TO THE DEPARTMENT OF TRANSPORTATION  
DES-OE-0102.1 (REV 1/13/2017)**

NAME OF BIDDER FBD Vanguard Construction, Inc.

BUSINESS STREET ADDRESS 550 Greenville Road

CITY, STATE, ZIP Livermore, CA, 94550

TELEPHONE NO. with AREA CODE 925-245-1300

FAX NO. with AREA CODE 925-245-1007

CONTRACTOR LICENSE NO. 833032

PUBLIC WORKS CONTRACTOR REGISTRATION NO. 1000008493

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List.

Bidder agrees:

2.1 If a discrepancy between the unit price and the item total exists, the unit price prevails except:

2.1.1 If the unit price is omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.

2.1.2 If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

2.2 If the unit price and the item total are omitted, the bid may be determined nonresponsive. If a lump sum total price is omitted, the bid may be determined nonresponsive.

2.3 Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the the item total, the item total prevails.

2.4 Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

2.5 Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6 For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7 The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda: 1

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items:

Electronic Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1 Criminal prosecution

5.2 Rejection of the bid

5.3 Rescission of the award

5.4 Termination of the Contract

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BY (Authorized Signature) ;                      DATE SIGNED (Do not type)

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PRINTED NAME AND TITLE OF PERSON SIGNING

ITEM NUMBER	ITEM CODE	QUANTITY	UNIT OF MEASURE	UNIT PRICE	ITEM TOTAL
BID ITEM LIST 1					
BID ITEM LIST					
1	070030 LEAD COMPLIANCE PLAN	1	LS	\$1,900.00	\$1,900.00
2	120090 CONSTRUCTION AREA SIGNS	1	LS	\$5,500.00	\$5,500.00
3	120100 TRAFFIC CONTROL SYSTEM	1	LS	\$123,950.00	\$123,950.00
4	128652 PORTABLE CHANGEABLE MESSAGE SIGN (LS)	1	LS	\$4,950.00	\$4,950.00
5	130100 JOB SITE MANAGEMENT	1	LS	\$2,600.00	\$2,600.00
6	130200 PREPARE WATER POLLUTION CONTROL PROGRAM	1	LS	\$800.00	\$800.00
7	130530 TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	3550	SQYD	\$1.45	\$5,147.50
8	130620 TEMPORARY DRAINAGE INLET PROTECTION	6	EA	\$400.00	\$2,400.00
9	130640 TEMPORARY FIBER ROLL	740	LF	\$6.00	\$4,440.00
10	130730 STREET SWEEPING	1	LS	\$8,000.00	\$8,000.00
11	130900 TEMPORARY CONCRETE WASHOUT	1	LS	\$2,000.00	\$2,000.00
12	160110 TEMPORARY HIGH-VISIBILITY FENCE	1050	LF	\$5.60	\$5,880.00
13	190101 ROADWAY EXCAVATION	1250	CY	\$200.00	\$250,000.00
14 (F)	208600 3" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	188	LF	\$60.00	\$11,280.00
15	280010 RAPID STRENGTH CONCRETE BASE	71	CY	\$600.00	\$42,600.00
16	280015 LEAN CONCRETE BASE RAPID SETTING	340	CY	\$600.00	\$204,000.00
17	390132 HOT MIX ASPHALT (TYPE A)	500	TON	\$290.00	\$145,000.00
18	397005 TACK COAT	1.2	TON	\$2,440.00	\$2,928.00
19	398200 COLD PLANE ASPHALT CONCRETE PAVEMENT	2180	SQYD	\$17.00	\$37,060.00
20	038125 PRECAST JOINTED CONCRETE PAVEMENT	860	CY	\$1,400.00	\$1,204,000.00
21	420201 GRIND EXISTING CONCRETE PAVEMENT	2530	SQYD	\$9.00	\$22,770.00
22 (F)	682049 CLASS 3 PERMEABLE MATERIAL (BLANKET)	0.6	CY	\$1,415.00	\$849.00
23	700639	5	LF	\$780.00	\$3,900.00

36" CORRUGATED STEEL PIPE INLET (.109" THICK)				
24 (F)	750001	177 LB	\$16.00	\$2,832.00
MISCELLANEOUS IRON AND STEEL				
25	810230	56 EA	\$23.00	\$1,288.00
PAVEMENT MARKER (RETROREFLECTIVE)				
26	840615	1900 LF	\$6.50	\$12,350.00
6" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BROKEN 18-12)				
27	846007	1270 LF	\$6.50	\$8,255.00
6" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)				
28	870009	1 LS	\$330.00	\$330.00
MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION				
29	872130	1 LS	\$750,000.00	\$750,000.00
MODIFYING EXISTING ELECTRICAL SYSTEM				
30	999990	1 LS	\$182,000.00	\$182,000.00
MOBILIZATION				
Section 1 Total				\$3,049,009.50
Item Total				\$3,049,009.50

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**SUBCONTRACTOR LIST**  
**DES-OE-0102.2C (REV. 3/2015)**

Enter Bid Item Number. (Item)

Enter percent of Bid Item subcontracted. (%)

If the percentage of the bid item work is less than 100 percent, list the description of the portion of the work to be subcontracted. Enter the description of the percentage of work to be performed by subcontractor. (Description)

DO NOT USE SEMICOLONS (;) IN YOUR INPUT.

Sample Data Entry:

Item	%	Description
6	75	striping
42	15	reinforcement
54	100	

1) List this subcontractor?  YES  NO  
Business Name Nitta Erosion Control Location City Loomis State CA  
California Contractor License Number 401640 Public Works Contractor Registration  
Number 1000000478  
Portion of Work Subcontracted  
Item % Description  
7 100

2) List this subcontractor?  YES  NO  
Business Name Highway Specialty Company, Inc. Location City North Highlands State CA  
California Contractor License Number 1003147 Public Works Contractor Registration  
Number 1000022123  
Portion of Work Subcontracted  
Item % Description  
2 100

3) List this subcontractor?  YES  NO  
Business Name Chrisp Company Location City Fremont State CA  
California Contractor License Number 374600 Public Works Contractor Registration  
Number 1000000306  
Portion of Work Subcontracted  
Item % Description  
25 100  
26 100  
27 100  
30 2.2 Partial - Mobilization for their work only

4) List this subcontractor?  YES  NO  
Business Name Austin Enterprise Location City Bakersfield State CA  
California Contractor License Number 764893 Public Works Contractor Registration  
Number 1000001896

Portion of Work Subcontracted			
Item	%		Description
20	3.7		Partial - Joint Seal for Precast JCP

5) List this subcontractor?  YES  NO  
 Business Name Western Traffic Supply, Inc. Location City Pleasanton State CA  
 California Contractor License Number 974188 Public Works Contractor Registration  
 Number 1000003313

Portion of Work Subcontracted			
Item	%		Description
3	100		

6) List this subcontractor?  YES  NO  
 Business Name QA Constructors, Inc. Location City Hayward State CA  
 California Contractor License Number 1013265 Public Works Contractor Registration  
 Number 1000047727

Portion of Work Subcontracted			
Item	%		Description
17	100		
18	100		
19	100		

7) List this subcontractor?  YES  NO  
 Business Name W Bradley Electric Location City Novato State CA  
 California Contractor License Number 390741 Public Works Contractor Registration  
 Number 1000005322

Portion of Work Subcontracted			
Item	%		Description
28	100		
29	100		
30	2.7		Partial - Mobilization for their work only

8) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

9) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

10) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number



Portion of Work Subcontracted  
Item % Description

11) List this subcontractor?  YES  NO  
Business Name Location City State  
California Contractor License Number Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item % Description

12) List this subcontractor?  YES  NO  
Business Name Location City State  
California Contractor License Number Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item % Description

13) List this subcontractor?  YES  NO  
Business Name Location City State  
California Contractor License Number Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item % Description

14) List this subcontractor?  YES  NO  
Business Name Location City State  
California Contractor License Number Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item % Description

15) List this subcontractor?  YES  NO  
Business Name Location City State  
California Contractor License Number Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item % Description

16) List this subcontractor?  YES  NO  
Business Name Location City State  
California Contractor License Number Public Works Contractor Registration Number  
Portion of Work Subcontracted  
Item % Description

17) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

18) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

19) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

20) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

21) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

22) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

23) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

24) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

25) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

26) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

27) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

28) List this subcontractor?  YES  NO  
 Business Name    Location City    State  
 California Contractor License Number                      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

29) List this subcontractor?  YES  NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

30) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

31) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

32) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

33) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

34) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

35) List this subcontractor?     YES     NO

Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted

Item % Description

36) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

37) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

38) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

39) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

40) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

41) List this subcontractor?  YES  NO  
 Business Name Location City State  
 California Contractor License Number Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item % Description

42) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

43) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

44) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

45) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

46) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

47) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

48) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

49) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

50) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

51) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

52) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

53) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number  
 Portion of Work Subcontracted  
 Item                      %                      Description

54) List this subcontractor?  YES  NO  
 Business Name      Location City      State  
 California Contractor License Number      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

55) List this subcontractor?     YES     NO

Business Name    Location    City    State

California Contractor License Number                      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

56) List this subcontractor?     YES     NO

Business Name    Location    City    State

California Contractor License Number                      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

57) List this subcontractor?     YES     NO

Business Name    Location    City    State

California Contractor License Number                      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

58) List this subcontractor?     YES     NO

Business Name    Location    City    State

California Contractor License Number                      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

59) List this subcontractor?     YES     NO

Business Name    Location    City    State

California Contractor License Number                      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

60) List this subcontractor?     YES     NO

Business Name    Location    City    State

California Contractor License Number                      Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description





**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS  
DES-OE-01012.a (REV 8/2012)**

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:

Bidder's Name:

\_\_\_\_\_

Signature of Bidder: \_\_\_\_\_

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**SMALL BUSINESS STATUS**  
**DES-OE-0102.4 (REV 3/2008)**

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES       NO      Certification number?:

Note: This small business questionnaire is included for statistical reporting only.

**CERTIFICATIONS**

**FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION**

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC section 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

**DISCLOSURE OF LOBBYING ACTIVITIES**

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352       YES       NO

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier , if known

Congressional District, if known :

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:  
CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Print Name:  
Title:  
Telephone No.:  
Date:

Signature \_\_\_\_\_

Federal Use Only:

Authorized for Local Reproduction  
Standard Form - LLL(Rev.7-97)

**INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number;

Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."

9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.

10.(a)Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action. (b)Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).

11.The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.



**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
CERTIFICATIONS**

## EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder FBD Vanguard Construction, Inc., proposed subcontractor ,certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

## Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION  
CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official

misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

#### UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

#### NONCOLLUSION

##### NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Alameda

Name: Dominic Sposeto ,

being first duly sworn, deposes and says that he or she is CEO of FBD Vanguard Construction, Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

#### CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders,

as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

## NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

## VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

YES     NO

If the answer is yes, explain the circumstances in the following space.

## ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder has has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

## PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

## BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES       NO

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

YES       NO

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES       NO

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

## Bidder's Bond

### DES-OE-0102.3 (REV 3/2008)

Bidder's Security: Electronic Bidder's Bond

If electronic Bid Bond data is not submitted with bid, submit Bidder's Security. Bidder's Security must be received by Office Engineer before bid opening date and time. Bid Bond forms can be downloaded from the Caltrans Electronic Bidding website at: [http://www.dot.ca.gov/hq/esc/oe/electronic\\_bidding/electronic\\_bidding.html](http://www.dot.ca.gov/hq/esc/oe/electronic_bidding/electronic_bidding.html). Bid Bond forms are also the last 2 pages of the printout of the electronic bid.

Bond ID: 6E3A-HV22-M43J-7S2Q

Bond Registry: SurePathNetwork

Bond Percent: 10

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION**  
**BIDDER'S BOND**  
**DES-OE-0102.3 (REV 3/2008)**

Contract No. 04-2J3204

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN IN ALAMEDA COUNTY AT 0.1 MILE NORTH OF INDUSTRIAL PARKWAY OVERCROSSING In District 04 on Route 880 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on Wednesday, August 28, 2019

NOW, THEREFORE, if the Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
*Principal*

\_\_\_\_\_  
*Surety*

\_\_\_\_\_  
Address of Surety (For correspondence or claims)

By \_\_\_\_\_  
*Attorney-in-Fact*



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

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A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

---

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_ ,  
Date Here Insert Name and Title of the Officer

personally appeared \_\_\_\_\_ ,  
Name(s) of Signer(s)

---

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature \_\_\_\_\_  
Signature of Notary Public

We FBD Vanguard Construction, Inc.

550 Greenville Road, Livermore, CA 94550 as Principal, and

Travelers Casualty and Surety Company of America

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN ALAMEDA COUNTY AT 0.1 MILE NORTH OF INDUSTRIAL PARKWAY OVERCROSSING.  
(Copy here the exact description of work, including location, as it appears on the proposal)

for which bids are to be opened at Sacramento  
(insert place where bids will be opened)

on August 28, 2019  
(insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: August 22, 2019.

FBD Vanguard Construction, Inc.  
Principal  
Travelers Casualty and Surety Company of America  
Surety

220 W 20th Avenue  
San Mateo, CA 94403  
Address of Surety (for correspondence of Claims)  
By See Attached Digital Signature  
Chelsea Arnold Attorney-in-Fact



**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }  
County of \_\_\_\_\_ }

N/A - Electronic Bid Bond

On this \_\_\_\_\_ before me, \_\_\_\_\_  
Date Here Insert Name and Title of the Officer

personally appeared \_\_\_\_\_  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

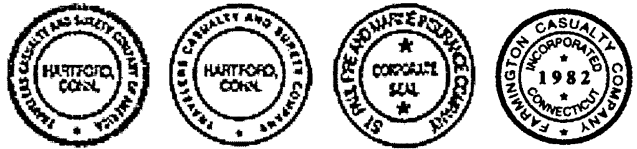
Signature \_\_\_\_\_  
Signature of Notary Public

**POWER OF ATTORNEY**

**KNOW ALL MEN BY THESE PRESENTS:** That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, St. Paul Fire and Marine Insurance Company, and Farmington Casualty Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint Chelsea Arnold of **San Mateo, CA**, their true and lawful Attorney-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law, including the following bond:

<b>Surety Bond No.:</b> Bid Bond <b>OR</b> <b>Project Description:</b> CONSTRUCTION ON STATE HIGHWAY IN ALAMEDA COUNTY AT 0.1 MILE NORTH OF INDUSTRIAL PARKWAY OVERCROSSING.	<b>Principal:</b> FBD Vanguard Construction, Inc. <b>Obligee:</b> California Department of Transportation
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**IN WITNESS WHEREOF**, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this **6th** day of **May**, 2019.



State of Connecticut

By:   
 Robert L. Raney, Senior Vice President

City of Hartford ss.

On this the **6th** day of **May**, 2019, before me personally appeared **Robert L. Raney**, who acknowledged himself to be the Senior Vice President of each of the Companies, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of said Companies by himself as a duly authorized officer.

**IN WITNESS WHEREOF**, I hereunto set my hand and official seal.

My Commission expires the **30th** day of **June**, 2021



  
 Anna P. Nowik, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of each of the Companies, which resolutions are now in full force and effect, reading as follows:

**RESOLVED**, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

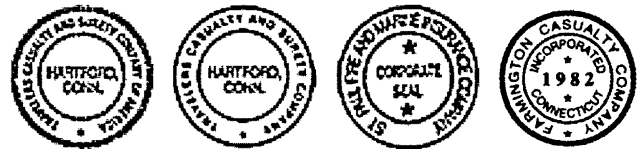
**FURTHER RESOLVED**, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

**FURTHER RESOLVED**, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

**FURTHER RESOLVED**, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.


I, **Kevin E. Hughes**, the undersigned, Assistant Secretary of each of the Companies, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this 22nd day of August, 2019.



  
 Kevin E. Hughes, Assistant Secretary

**To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.**  
**Please refer to the above-named Attorney-in-Fact and the details of the bond to which this Power of Attorney is attached.**

Status	Name	Issuer	Valid From	Valid To	Local Signing Time
 Signature Valid	Chelsea Arnold	TrustID CA A12	8/22/2019 11:50:11 AM	8/21/2020 11:50:11 AM	August 22, 2019 14:24:13